

Notice of References CitedApplication No.
09/313,216Applicant(s)
Silverman et alExaminer
EDWARD COSIMANO

9/10/99

Group Art Unit
2761

Page 1 of 2

U.S. PATENT DOCUMENTS

| * | | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS |
|---|---|--------------|---------|-----------------|-------|----------|
| x | A | 3,574,747 | 04/1971 | Adams et al | 705 | 37 |
| x | B | 4,376,978 | 03/1983 | Musmanno | 364 | 408 |
| x | C | 4,412,287 | 10/1983 | Braddock, III | 364 | 408 |
| x | D | 4,525,779 | 06/1985 | Davids et al | 345 | 330 |
| x | E | 4,531,184 | 07/1985 | Wigan et al | 345 | 330 |
| x | F | 4,555,781 | 11/1985 | Baldry et al | 370 | 260 |
| x | G | 4,745,559 | 05/1988 | Willis et al | 364 | 408X |
| x | H | 4,750,135 | 06/1988 | Boilen | 364 | 514 |
| x | I | 4,799,156 | 01/1989 | Shavit et al | 364 | 401 |
| x | J | 4,903,201 | 02/1990 | Wagner | 364 | 408 |
| x | K | 5,034,916 | 07/1991 | Ordish | 395 | 200.34 |
| x | L | 5,077,665 | 12/1991 | Silverman et al | 364 | 408 |
| x | M | 5,136,501 | 08/1992 | Silverman et al | 364 | 408 |

FOREIGN PATENT DOCUMENTS

| * | | DOCUMENT NO. | DATE | COUNTRY | NAME | CLASS | SUBCLASS |
|---|---|--------------|---------|---------|------|-------|----------|
| x | N | 1,489,571 | 10/1974 | UK | | ---- | ---- |
| x | O | 2,275,296 | 09/1994 | UK | | ---- | ---- |
| x | P | 2,275,297 | 09/1994 | UK | | ---- | ---- |
| x | Q | 2,275,298 | 09/1994 | UK | | ---- | ---- |
| x | R | 2,277,177 | 10/1994 | UK | | ---- | ---- |
| x | S | 2,277,178 | 10/1994 | UK | | ---- | ---- |
| x | T | 2,277,389 | 10/1994 | UK | | ---- | ---- |

NON-PATENT DOCUMENTS

| * | | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) | DATE |
|---|---|--|---------|
| x | U | Mazzell: "Flying High with Financial Databases"; Wall Street Computr Review, pp. 36-44. | 06/1985 |
| x | V | Hansell: "The Computer That Ate Chicago"; Institutional Investor, pp. 180-188. | 02/1989 |
| x | W | Behof et al: "Future Shock if Ratting the Futures Pits"; Business Week, Apr 17, 1989, pp93-94. | 04/1989 |
| x | X | Persanyi: "Global Custody: Take a Journey to 1992"; ABA Banking Journal, pp. 96, 100. | 05/1990 |

* A copy of this reference is not being furnished with this Office action.
(See Manual of Patent Examining Procedure, Section 707.05(a).)

Notice of References CitedApplication No.
09/313,216Applicant(s)
Silverman et alExaminer
EDWARD COSIMANO**9/10/99**Group Art Unit
2761

Page 2 of 2

U.S. PATENT DOCUMENTS

| * | | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS |
|---|---|--------------|---------|-----------------|-------|----------|
| x | A | 5,168,446 | 12/1992 | Wiseman | 705 | 37 |
| x | B | 5,375,055 | 12/1994 | Togher et al | 705 | 36 |
| | C | 5,715,402 | 02/1998 | Popolo | 705 | 37 |
| x | D | 5,732,400 | 03/1998 | Mandler et al | 705 | 26 |
| x | E | 5,794,207 | 08/1998 | Walker et al | 705 | 1 |
| x | F | 5,924,082 | 07/1999 | Silverman et al | 705 | 37 |
| | G | | | | | |
| | H | | | | | |
| | I | | | | | |
| | J | | | | | |
| | K | | | | | |
| | L | | | | | |
| | M | | | | | |

FOREIGN PATENT DOCUMENTS

| * | | DOCUMENT NO. | DATE | COUNTRY | NAME | CLASS | SUBCLASS |
|---|---|--------------|------|---------|------|-------|----------|
| | N | | | | | | |
| | O | | | | | | |
| | P | | | | | | |
| | Q | | | | | | |
| | R | | | | | | |
| | S | | | | | | |
| | T | | | | | | |

NON-PATENT DOCUMENTS

| * | | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) | DATE |
|---|---|---|------|
| | U | | |
| | V | | |
| | W | | |
| | X | | |

* A copy of this reference is not being furnished with this Office action.
(See Manual of Patent Examining Procedure, Section 707.05(a).)